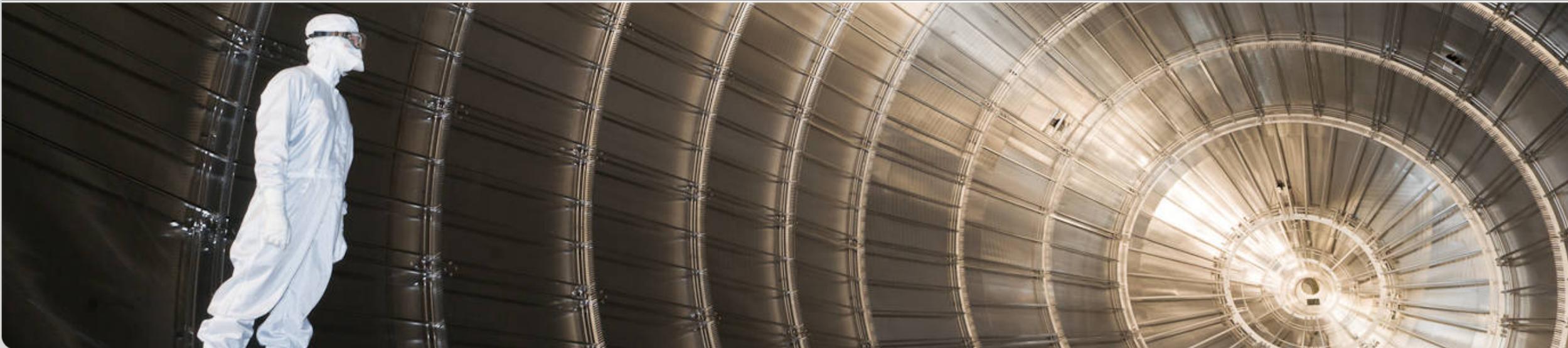


# “The neutrino mass experiment KATRIN”

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# Outline



- Neutrino mass and  $\beta$ -decay
- KATRIN experiment
- First tritium measurements
- KATRIN backgrounds
- Summary & Outlook

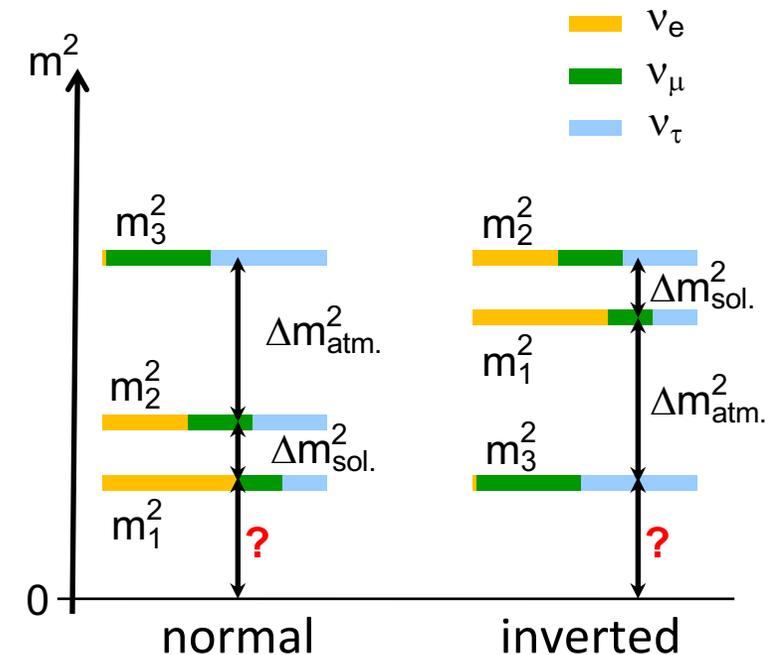
# Neutrino masses



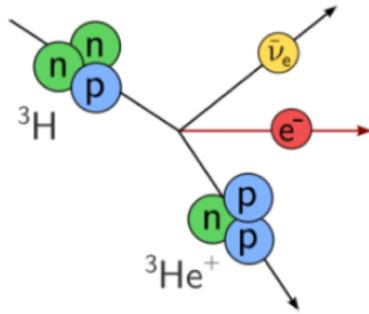
- Neutrino flavour eigenstates are related to neutrino mass eigenstates by the lepton mixing matrix (PMNS)
- Neutrino oscillations are sensitive to the differences between the squares of neutrino masses
- Two mass ordering scenarios possible
- The value of the lightest neutrino mass is unknown

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \\ m_3 \end{pmatrix}$$

## mass ordering



# Neutrino mass and single $\beta$ -decay



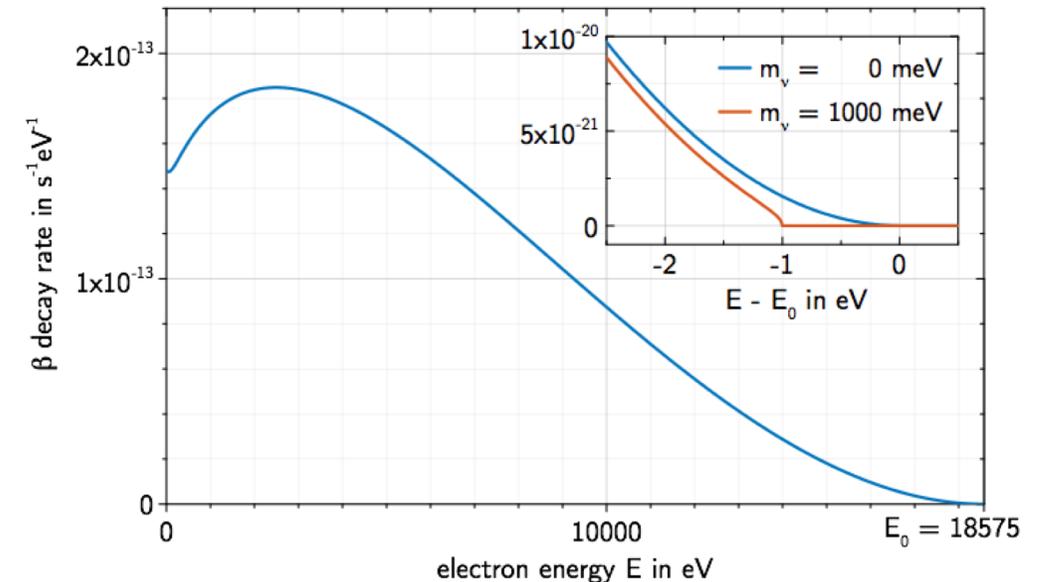
Fermi theory of  $\beta$ -decay:

$$\frac{dN}{dE} = C \cdot F(E,Z) \cdot p(E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_\nu^2}$$

$$m_{\nu_e}^2 = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$$

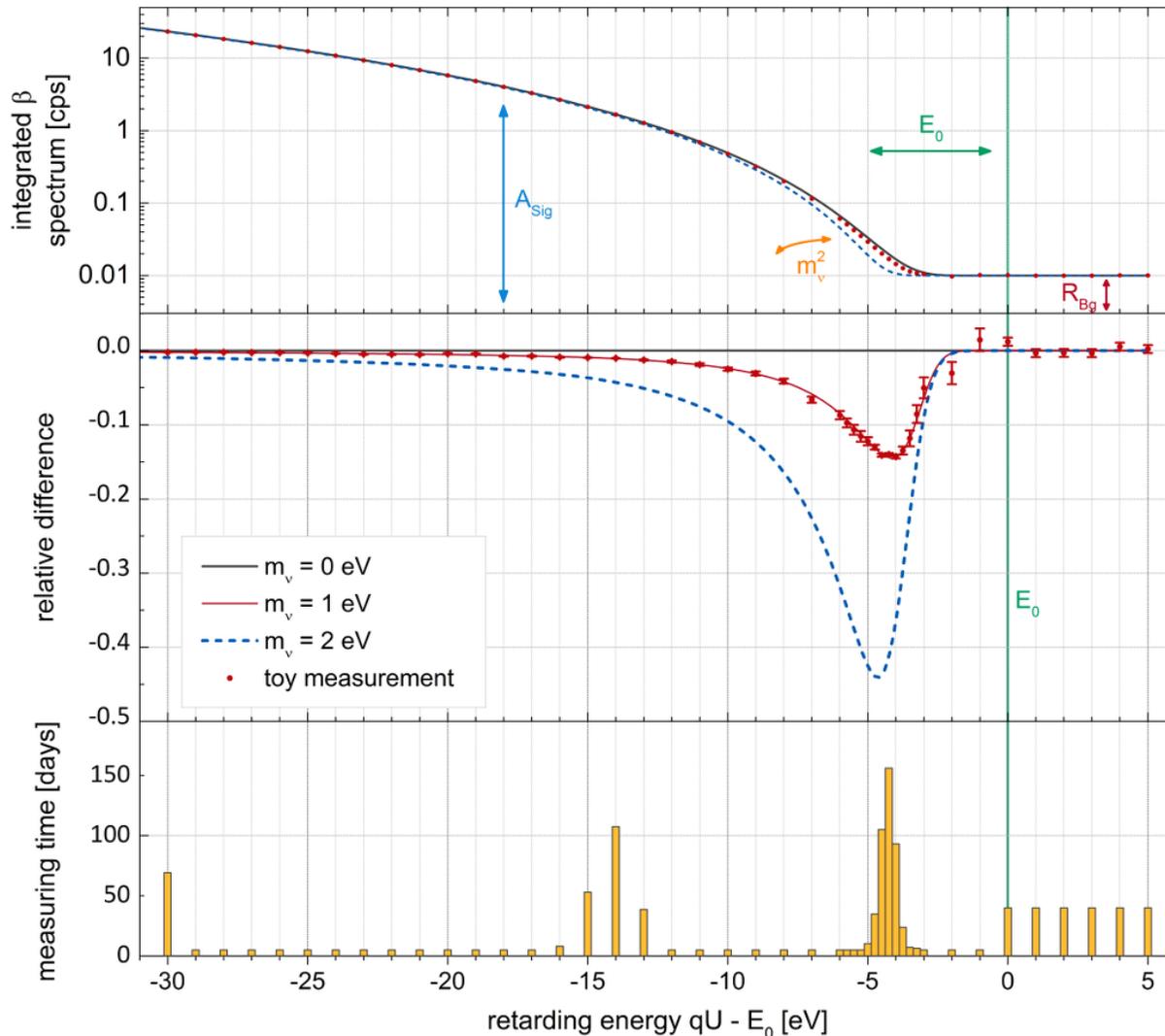
- $\beta$ -decay:  $n \rightarrow p + e^- + \bar{\nu}_e$
- Neutrino mass influences energy spectrum of  $\beta$ -decay electrons
- Neutrino mass determination via precise measurement of the spectral shape close to the endpoint
- Model independent method

$\beta$ -spectrum for tritium ( $E_0 = 18.6$  keV,  $T_{1/2} = 12.3$  y):





# Neutrino mass measurement

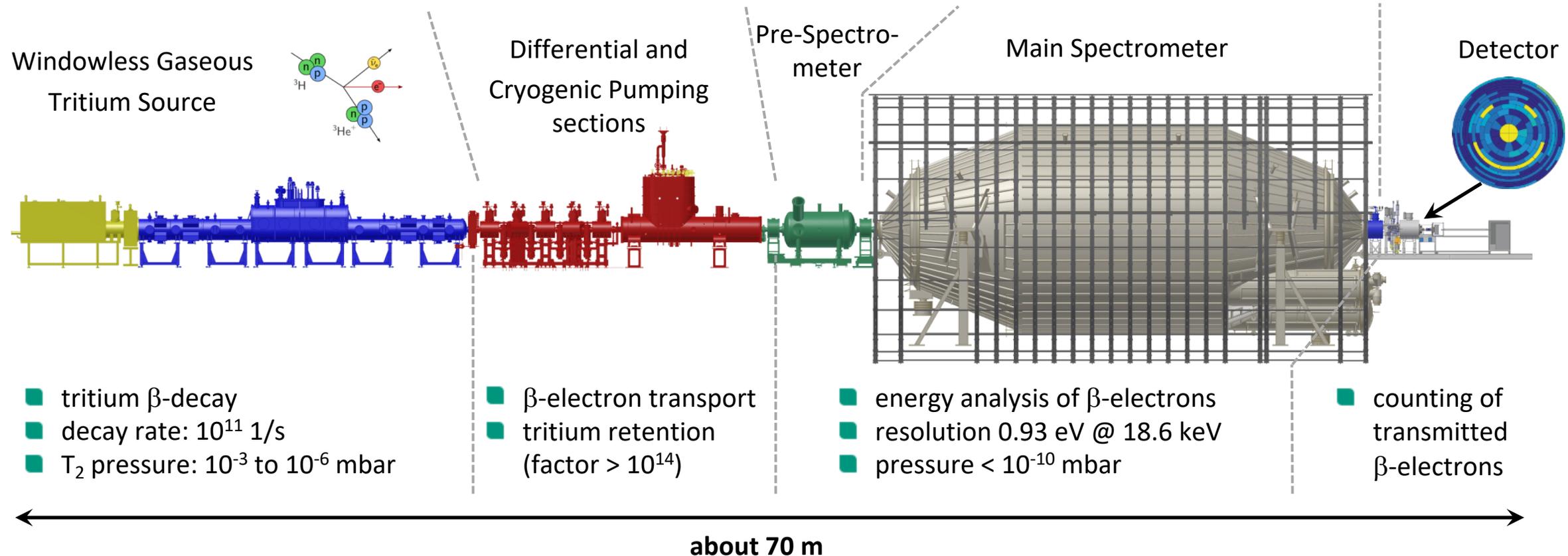


- KATRIN will measure the integrated  $\beta$ -spectrum close to the  $T_2$  endpoint  $E_0$
- The influence of  $m_\nu$  is most pronounced a few eV below  $E_0$
- Optimized measurement time distribution to increase sensitivity
- Background obscures region of spectrum most sensitive to neutrino mass
- Design goal is a background rate of 0.01 cps for a sensitivity of 0.2 eV/ $c^2$

# The KATRIN experiment



- Karlsruhe TRITium Neutrino experiment
- Goal: Measure neutrino mass with a sensitivity of  $0.2 \text{ eV}/c^2$  (90% C.L.)



- tritium  $\beta$ -decay
- decay rate:  $10^{11} \text{ 1/s}$
- $\text{T}_2$  pressure:  $10^{-3}$  to  $10^{-6} \text{ mbar}$

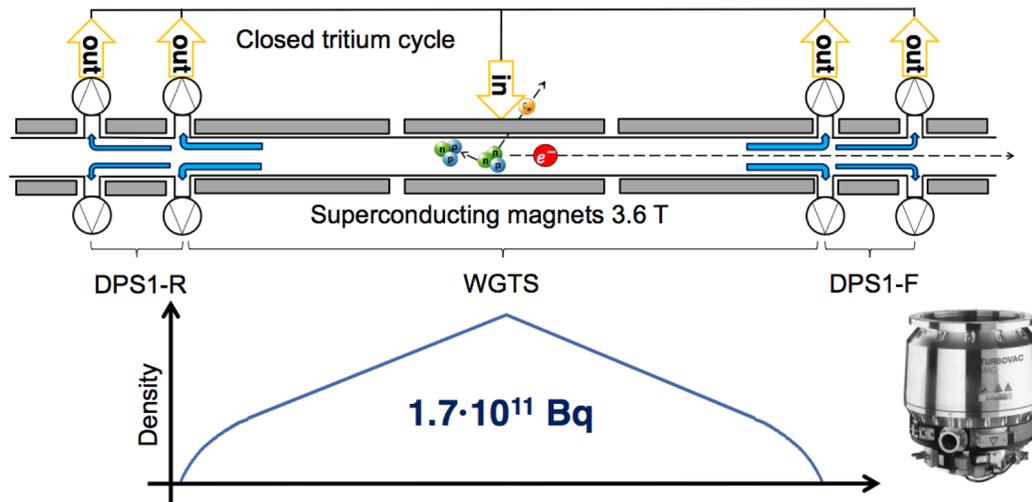
- $\beta$ -electron transport
- tritium retention (factor  $> 10^{14}$ )

- energy analysis of  $\beta$ -electrons
- resolution  $0.93 \text{ eV}$  @  $18.6 \text{ keV}$
- pressure  $< 10^{-10} \text{ mbar}$

- counting of transmitted  $\beta$ -electrons

# Windowless Gaseous Tritium Source

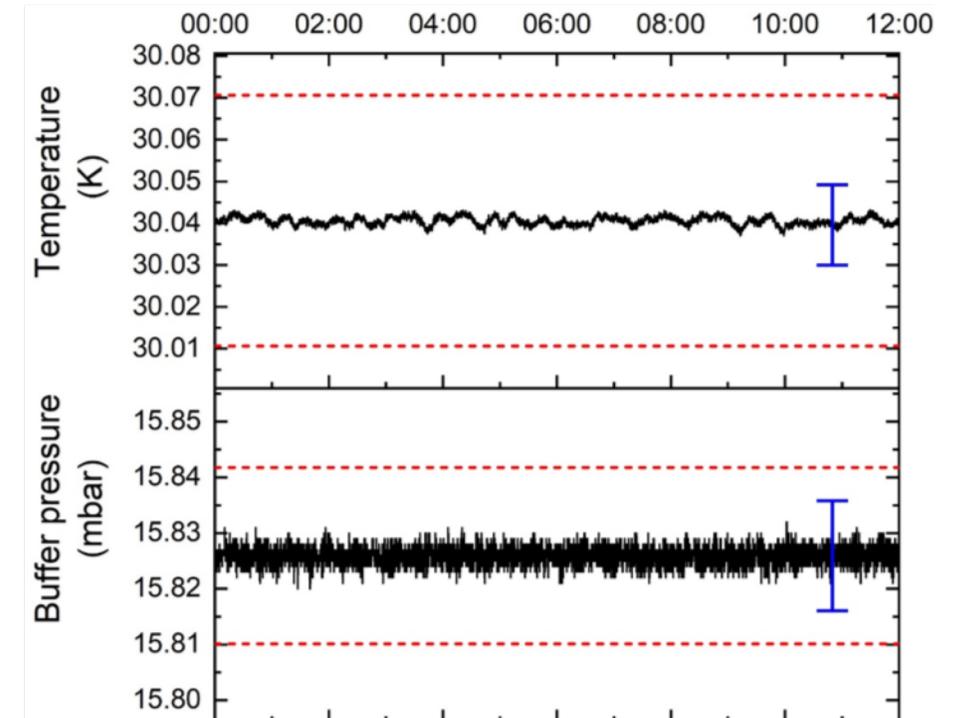
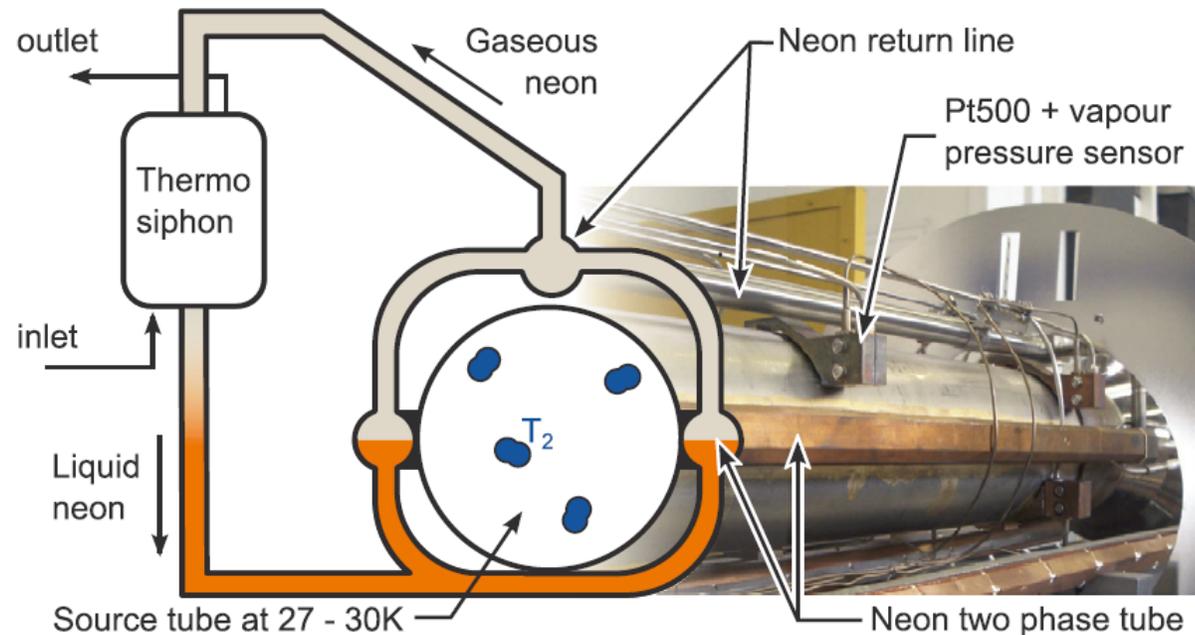
- **Purpose:** delivery of  $10^{11}$   $\beta$ -decay electrons per second



- Stability of T<sub>2</sub> density profile of  $10^{-3}$  (function of T<sub>2</sub> injection rate, purity, beamtube temperature stability and homogeneity, pump rate)
- Complex cryostat, 16 m length, 27 t weight, > 800 sensors and valves
- High isotopic purity (> 95%)
- Tritium loop processes 40 g T<sub>2</sub> / day (same scale as ITER)

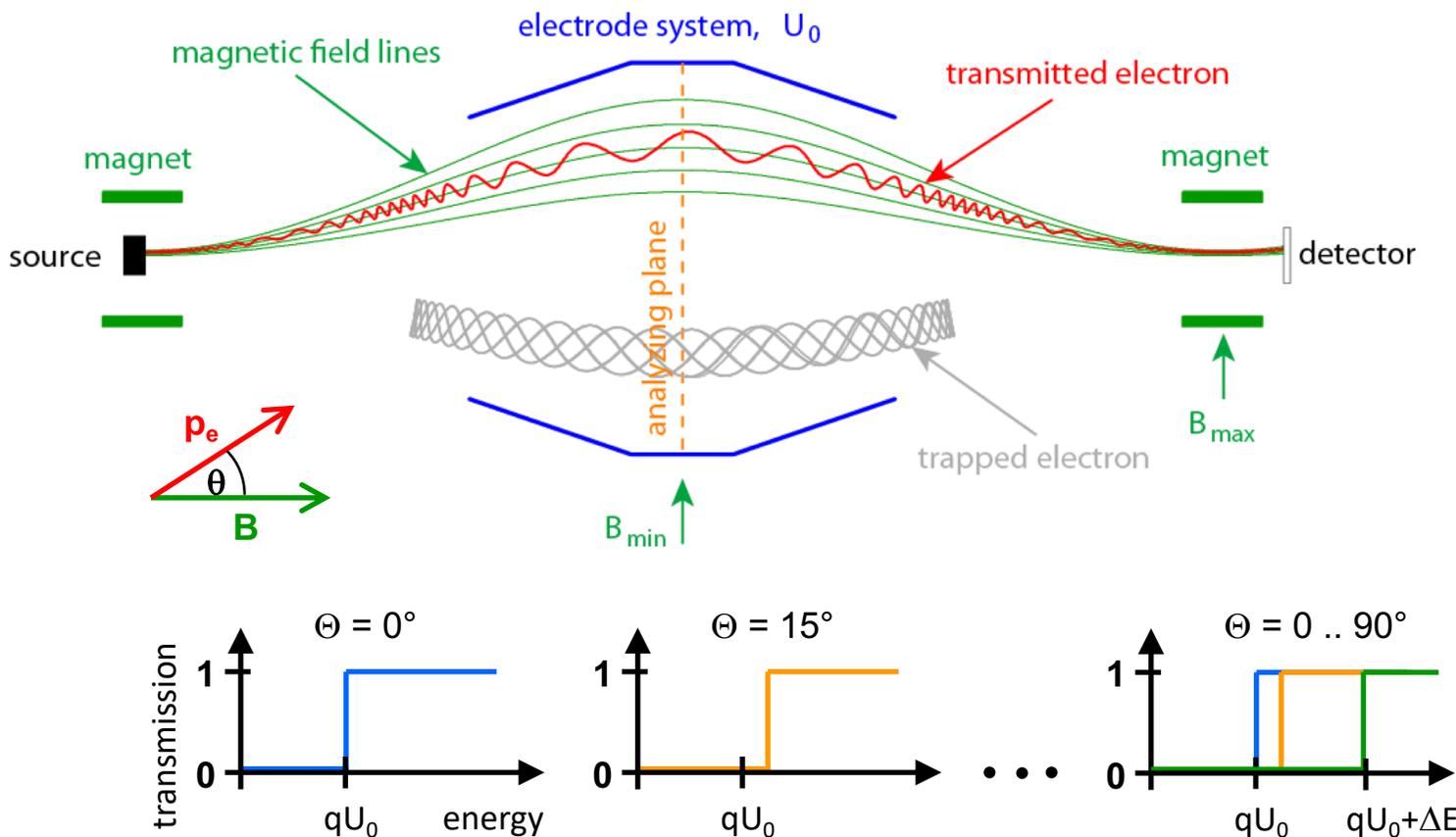
# Windowless Gaseous Tritium Source

- Successful commissioning of magnet system at maximum field (3.6 T)
- Test of two phase beam tube cooling system: temperature stability exceeds requirements by one order of magnitude!



# MAC-E filter

- **M**agnetic **A**diabatic **C**ollimation combined with an **E**lectrostatic Filter
- Technique used by Mainz and Troitsk neutrino mass experiments

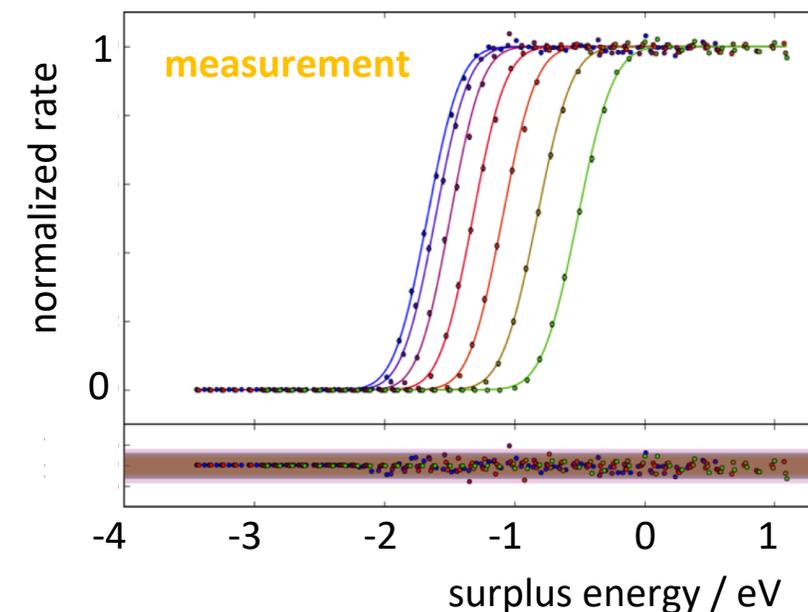


magnetic moment:

$$\mu = \frac{E_t}{B} = const$$

energy resolution:

$$\Delta E = \frac{B_A}{B_{max}} E_t$$



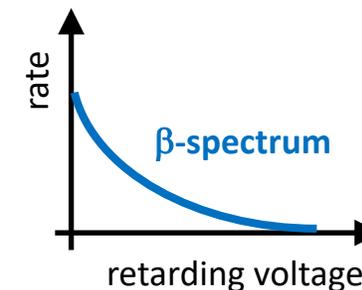
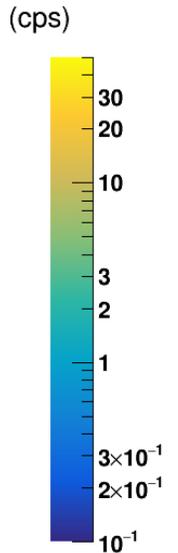
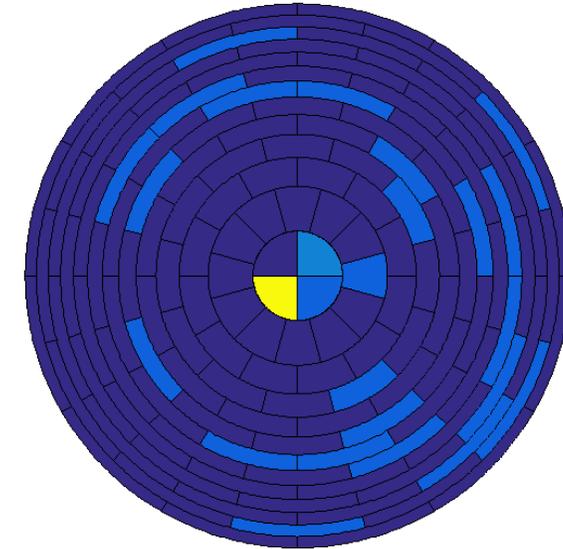
# main spectrometer



■ **Purpose:** energy analysis of  $\beta$ -decay electrons ( $\Delta E = 0.93$  eV @ 18.6 keV)

- Spectrometer mass 200 t, volume 1240 m<sup>3</sup>, inner surface 689.6 m<sup>2</sup>
- Pressure  $\sim 10^{-11}$  mbar
- Inner wire electrode system for fine-tuning of retarding potential
- Voltage monitoring precision 3 ppm @ -18.6 kV
- Variable voltage to scan  $E_0$  region

fpd00049155.0



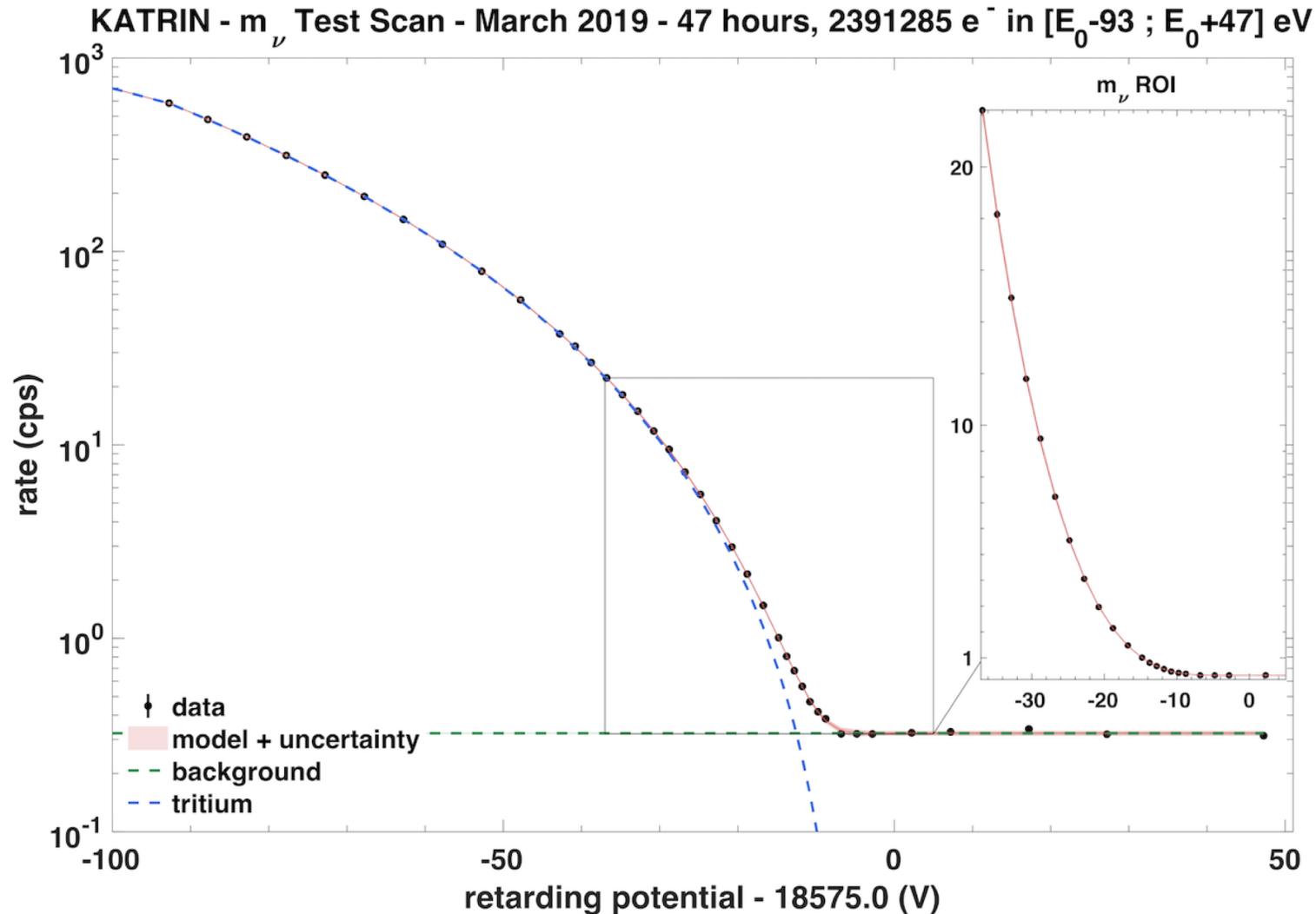
# KATRIN Inauguration / First Tritium



- First tritium measurement campaign and official inauguration in May/June 2018
- Successful commissioning of system with tritium (< 1% nominal activity)
- Investigation of  $\beta$ -spectrum for systematic effects and test analysis strategies

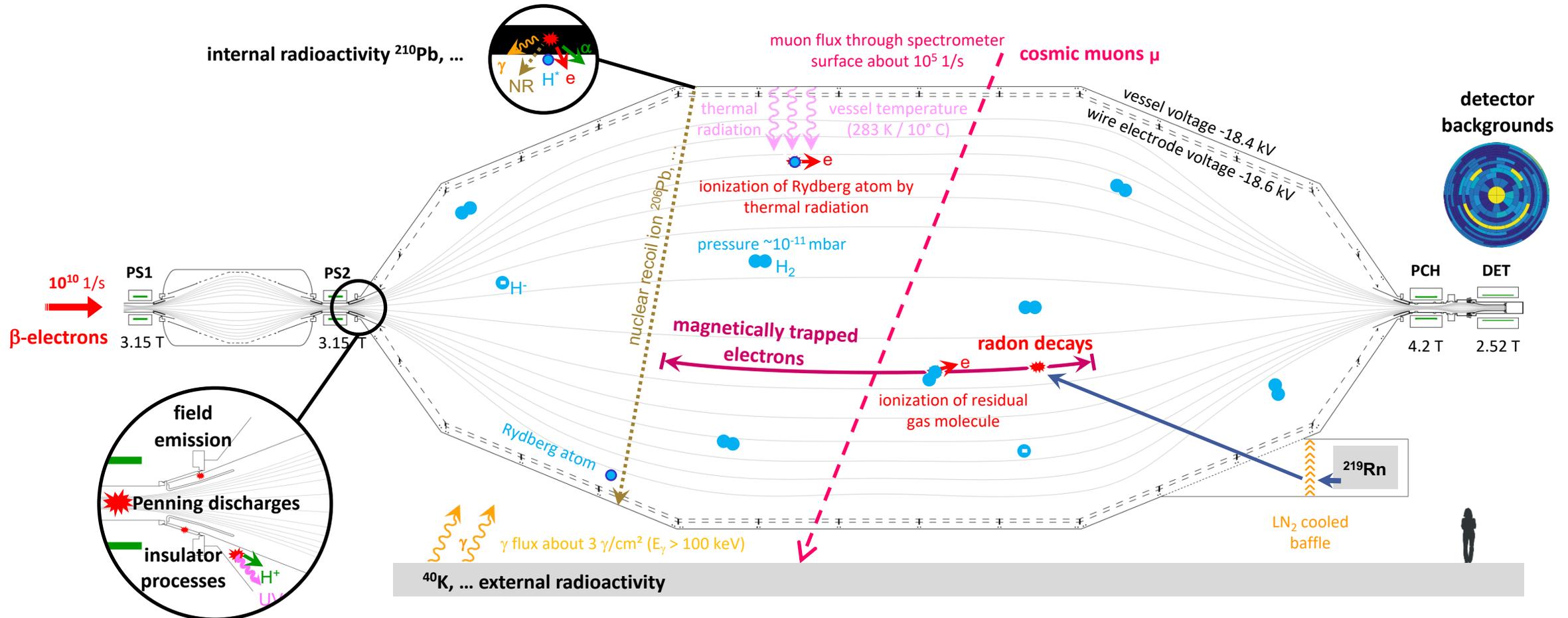


# “KATRIN neutrino mass 1 (KNM1)” measurements



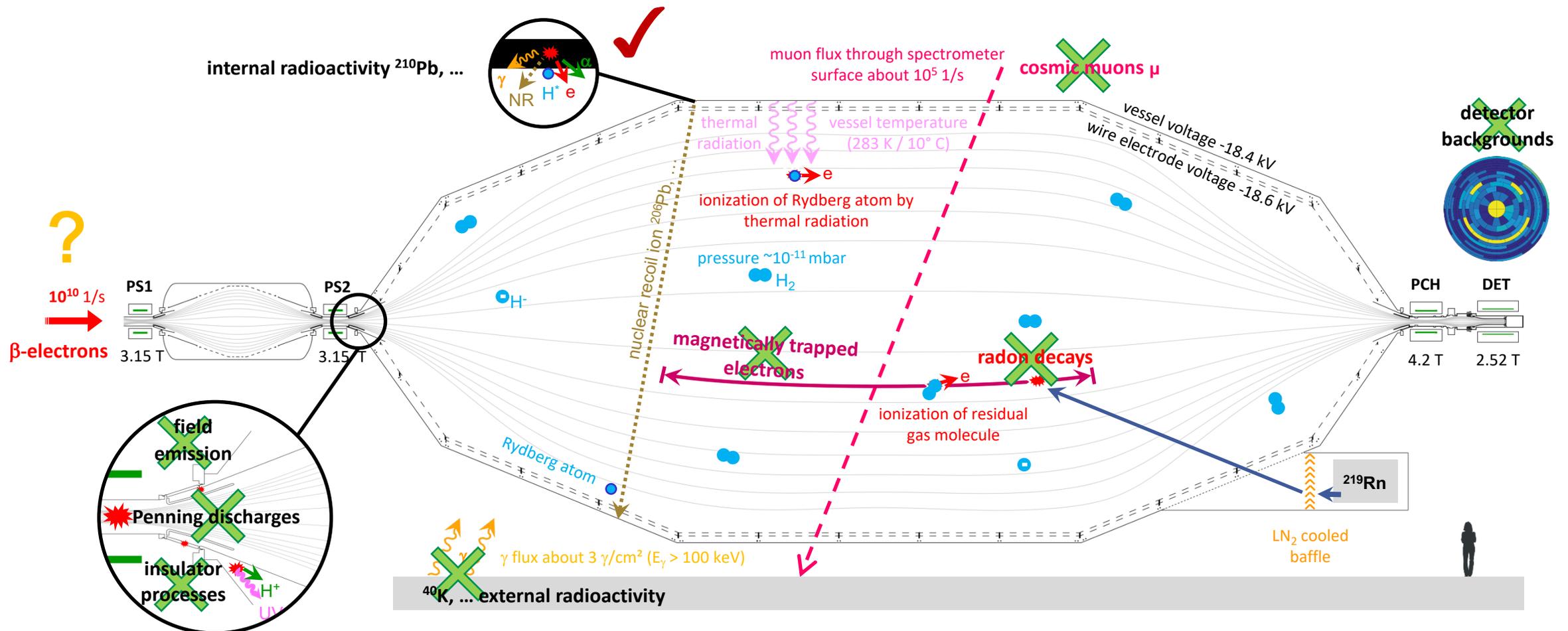
- Ongoing measurement campaign since beginning of March 2019
- Step wise increase of tritium source activity (0 -> 100 %)
- Investigation of systematic effects (electron energy loss, source plasma potential)
- $\beta$ -spectrum scans

# Background overview



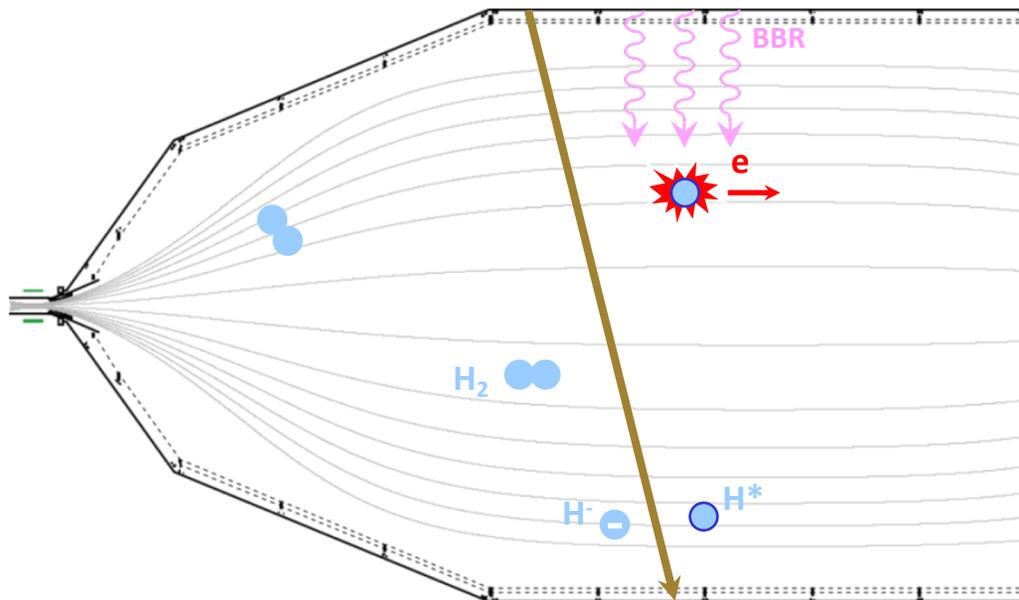
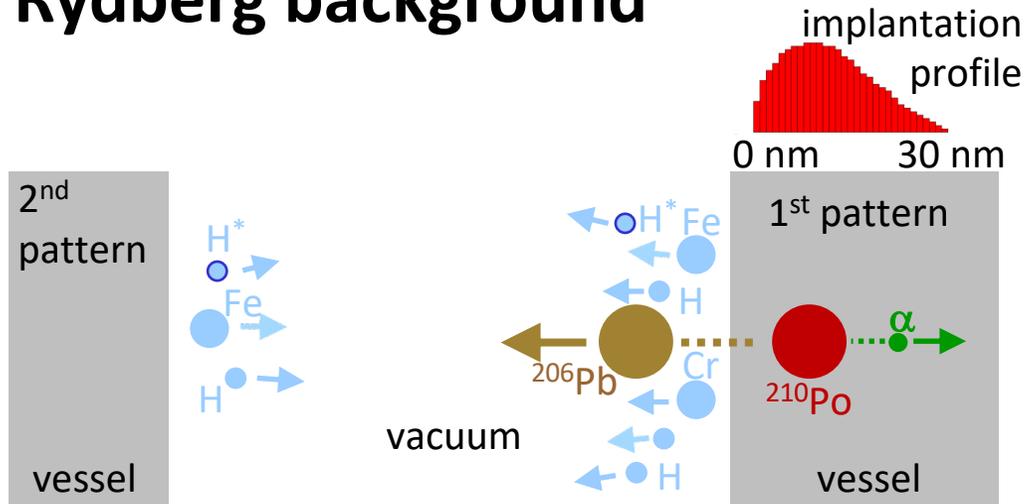
■ Various processes contribute to the KATRIN background

# Background overview



■ Most background processes are efficiently suppressed, but remaining background is about 50 times larger than design value

# Rydberg background

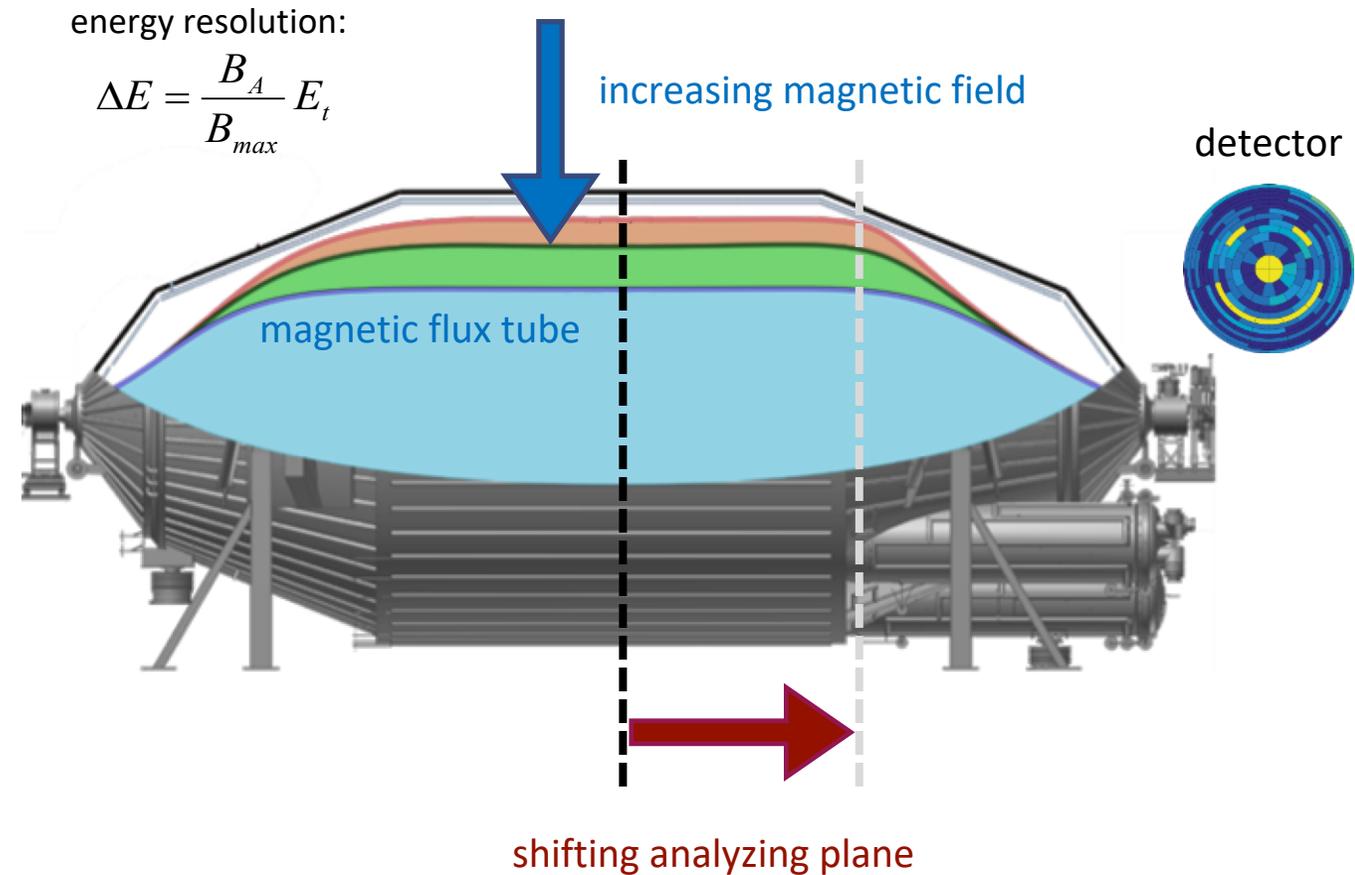


## Rydberg model:

- Rydberg atoms created in the decay of  $^{210}\text{Po}$  and accompanying processes, enter the spectrometer volume where they are ionized by thermal radiation, thus creating low-energy electrons
- This process creates about the same number of electrons for each volume element of the main spectrometer

# Rydberg background reduction methods

- Reducing the volume of the magnetic flux tube mapped on the detector reduces the background
- Increasing the magnetic field in the analyzing plane broadens the energy resolution
- Shifting the analyzing plane downstream increases inhomogeneities of the retarding potential



# Summary and outlook



## Summary:

- KATRIN aims to measure the neutrino mass with  $0.2 \text{ eV}/c^2$  sensitivity (90% C.L.)
- Official inauguration of KATRIN on June 11<sup>th</sup>, 2018
- First neutrino mass measurement campaign started in March 2019

## Outlook:

- Results of the first neutrino mass measurements are planned to be presented at the TAUP conference in September 2019
- Accumulate 3 years of measurement time to reach full KATRIN sensitivity
- Search for keV sterile neutrinos (TRISTAN)

# KATRIN collaboration



February 2019

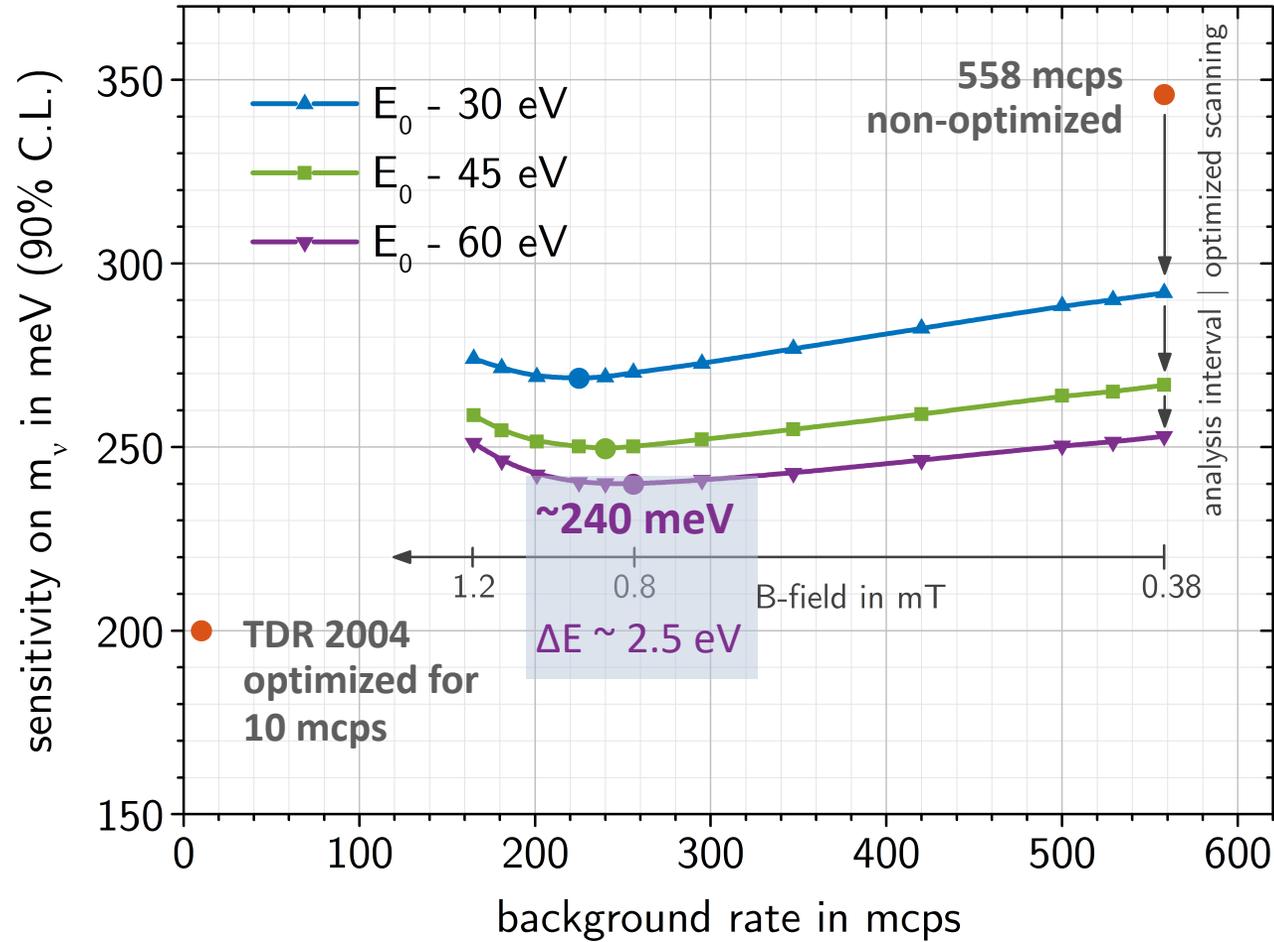


THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL



Backup

# KATRIN background & sensitivity



■ KATRIN sensitivity limited by backgrounds